Disciplinary Processes

for Licensed Cadastral Surveyors in New Zealand

Brian J Coutts

Chair

Cadastral Surveyors Licensing Board of New Zealand

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The players:

- Cadastral Surveyors Licensing Board (CSLB)
- Land Information New Zealand (LINZ)
- New Zealand Institute of Surveyors (NZIS)

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CSLB

- Protection of the cadastre
- Setting of standards for surveyors
- Issue of licences to competent surveyors
- Disciplining of professional misconduct

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3

LINZ

- Protecting and maintaining of the cadastre
- Setting of standards for surveys
 - issuing of "significant failure" notices
 - these are warning that are given to surveyors submitting plans with errors, the CSLB is notified and seeks and explanation, and licences are "flagged"

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NZIS

- Examine candidates for admission to NZIS
 - Includes examination for licences
 - cadastral law and practice
 - some planning and some engineering
- Promotion of professional conduct
 - Disciplining of unprofessional conduct
- Provision of continuing professional development

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5

Disciplinary process

- Receipt of complaint
- Investigation
 - including response from surveyor
- Acceptance of complaint
- Hearing
- Reserved decision
- Notification
- Publication of effect of Order

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CSLB Procedure

- Introductions
- statement by complainant
- questions by hearings panel
- statement by respondent
- questions by hearings panel
- may permit cross questioning
- final statement by complainant
- reservation and adjournment of hearing.

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7

Principles

- Natural justice
 - to meet ones accusers
 - to have the opportunity to defend oneself
 - to be judged by ones peers
- Confined to matters of competency in cadastral surveying

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Disciplinary powers

- Removal of licence
- Suspension of licence
- Requirement to work under supervision

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Penalties

- There is no ability to impose fines, but costs are usually recovered (normally \$NZ 2,500)
- In a small professional community, publishing an Order is a significant penalty
- and, surveyors found guilty of professional misconduct have their licences reviewed annually for the next three years.

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Conclusions

- Legal representation of respondents has, generally, not been helpful
- Surveyors can be too honest in the admissions they make to clients and the Surveyor General
- There is little evidence of true incompetence (that is not knowing what is right)
- There is abundant evidence of stress
 - causing ill health
 - causing tardiness

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11

In terms of accelerated development . . .

- There are added stresses to
 - complete work on time
 - ensure proper standards are maintained
 - by qualified staff
 - by employees
 - that adequate supervision is maintained (QA)
 - price work properly in the first instance.

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